

Technology Start-up Support Scheme for Universities (TSSSU)

Application for Funding

*Reference number
(for ITC use):*

NOTES

1. Section A to Section E should be completed by the person-in-charge of the start-up applying for TSSSU funding. Section F should be completed by the Head of the respective university unit responsible for TSSSU.
2. Please read the relevant guidelines from the associated university before completing this form.
3. All information provided in this form will be used for processing the application and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up concerned and the university unit responsible for TSSSU have given explicit consent to such disclosure.
4. Please tick the box as appropriate.

SECTION A: The Applicant

(To be completed by the person-in-charge of the applicant company)

(1) Our team is applying for –

- (a) the first year TSSSU-O funding
- (b) the second or the third year TSSSU-O funding; reference number of last year’s application: _____
- (c) the first year TSSSU+ funding
 - (i) funded by TSSSU-O before; reference number: _____
 - (ii) not funded by TSSSU-O before
- (d) the second or the third year TSSSU+ funding; reference number of last year’s application: _____

(2) Particulars of the Applicant Team

(All fields below should be completed. Please type “N/A” for any information which cannot be provided or not applicable)

Name of Start-up
(in English)
Identical with the name on
the Certificate of
Incorporation : _____

Name of Start-up
(in Chinese): _____

The Associated University: The University of Hong Kong

Date of Registration under
the Companies Ordinance: _____

Company Address
Registered with the
Companies Registry: _____

Telephone Number: _____

Fax Number: _____

Email Address: _____

Webpage (if any): _____

(3) Composition of the Applicant Team

(a) Person-in-charge of the Start-up

Name (in English, surname in CAPITAL letters): _____

Name (in Chinese): _____

In what way is the person-in-charge associated with the recommending university?

- (a) Undergraduate
- (b) Postgraduate
- (c) Professor
- (d) Faculty member
- (e) Alumnus

Academic Qualifications (e.g. Degree Awarded, Institute Awarding Qualification, Year of Award): _____

% of Shares Held in the Start-up (if any): _____

Telephone Number / Mobile Number: _____ / _____

Fax Number: _____

Email Address: _____

Correspondence Address: _____

(b) Other Team Members

(Please add row(s)/separate sheet(s) if necessary)

No.	Name (in English, surname in CAPITAL letters)	Name (in Chinese)	Is the team member associated with the recommending university? (Undergraduate/ Postgraduate/ Professor/ Faculty Member/ Alumnus/ Not Associated)	Academic Qualifications (e.g. Degree Awarded, Institute Awarding Qualification, Year of Award)	% of Shares Held (if any)
1.					%
2.					%

No.	Name (in English, surname in CAPITAL letters)	Name (in Chinese)	Is the team member associated with the recommending university? (Undergraduate/ Postgraduate/ Professor/ Faculty Member/ Alumnus/ Not Associated)	Academic Qualifications (e.g. Degree Awarded, Institute Awarding Qualification, Year of Award)	% of Shares Held (if any)
3.					%
4.					%

(c) Other Shareholders
(Not Covered in Section 3(b) above)

No.	Name (in English)	Name (in Chinese)	Involvement in Business(es)/Project(s) Supported by TSSSU in the Past Five Years (if any)	% of Shares Held
1.				%
2.				%
3.				%
4.				%
Total % of Shares Held by Entities in Section 3(a), (b) and (c)				100%

(d) Declaration of Intellectual Property

Please declare if the project applying for TSSSU-O or TSSSU+ involves any background Intellectual Property owned by The University of Hong Kong.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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(e) Collaborating Parties in the R&D Work (if applicable)

(Please add separate sheet(s) if necessary)

Any collaboration with other organisation(s), including the recommending university, in conducting the R&D work?

- Yes No (if no, please go to Section B direct)

If yes, please provide the following details –

- (i) the name of the organisation(s);

- (ii) the form of collaboration(s) (e.g. licensing of intellectual property rights, sharing of the royalties, etc.);

- (iii) special arrangements arising from such collaboration(s), e.g. licensing of intellectual property rights (if any);

- (iv) if the applicant team has entered into such collaboration, then please state the name of the agreement below and attach a copy in Section C; and

- (v) information of the contact person(s) of the collaborating party(ies).

English Name and Chinese Name	Role in the R&D Work	Address/ Webpage (if any)	Contact Person	Tel No./ Fax No./ Email Address

(f) Private Investor(s) (for applications under TSSSU+)

(Please add separate sheet(s) if necessary)

Please provide the following details and attach copies of the documentary proofs as set out in Section C –

Name of the Private Investor	Investment Amount in Cash (HK\$) ¹	% of Shares Held / to be Held in the Start-up	Date of Investment Payment Made / to be Made	Information of Contact Person		
				English Name, Chinese Name and Post Title	Address/ Webpage (if any)	Tel No./ Fax No./ Email Address
		%				
		%				
		%				

¹ In case the investments are made in foreign currency, relevant exchange rates should be provided in the table.

SECTION B: The Business Proposal

(To be completed by the person-in-charge of the applicant company)

(1) Technology Area *(please tick (“√”) as appropriate and insert an asterisk (“*”) against ONE key technology area in case more than one technology area is indicated)*

- Advanced Manufacturing/Process Development
- Automotive Parts and Accessories
- Biotechnology
- Chinese Medicine
- Electronics
- Energy
- Environmental Protection
- Information and Communication Technologies
- Logistics and Supply Chain Management
- Nanotechnology and Materials Science
- Testing and Certification
- Textile/Clothing/Footwear
- Others (please specify): _____

(2) Industrial Sector *(please tick (“√”) as appropriate and insert an asterisk (“*”) against ONE key industrial sector in case more than one industrial sector is indicated)*

- | | |
|---|--|
| <input type="checkbox"/> Banking/Financial Market/
Fund Management/Insurance | <input type="checkbox"/> Materials |
| <input type="checkbox"/> Biotechnology | <input type="checkbox"/> Medical Equipment |
| <input type="checkbox"/> Chinese Medicine | <input type="checkbox"/> Precision Engineering |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Printing and Publishing |
| <input type="checkbox"/> Electrical and Electronics | <input type="checkbox"/> Professional Services |
| <input type="checkbox"/> Energy | <input type="checkbox"/> Real Estate/Property Management |
| <input type="checkbox"/> Environmental | <input type="checkbox"/> Telecommunications |
| <input type="checkbox"/> Food and Beverage | <input type="checkbox"/> Testing and Certification |
| <input type="checkbox"/> General (Cross Sectors) | <input type="checkbox"/> Textiles/Clothing/Footwear |
| <input type="checkbox"/> Import and Export Trade | <input type="checkbox"/> Tourism |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Logistics and Communication
Technologies | <input type="checkbox"/> Wholesale and Retail |
| <input type="checkbox"/> Manufacturing Engineering | <input type="checkbox"/> Others (please specify): _____ |

(3) Brief Description of Business *(Please add separate sheet(s) if necessary)*

(For applicant applying for the first year TSSSU-O / TSSSU+ funding, please complete Sections B(3)(a) and (3)(c) below. For applicant applying for continued TSSSU-O / TSSSU+ funding, please complete Sections B(3)(b) and (3)(c).)

(a) *(Applicant applying for the first year TSSSU-O / TSSSU+ funding)*

(i) overview of the start-up;

(in Chinese) (Not more than 200 words)

(in English) (Not more than 150 words)

(ii) technology(ies) involved;

(in Chinese) (Not more than 200 words)

(in English) (Not more than 150 words)

(iii) proposed product(s) and/or service(s) and target market(s); and

(in Chinese) (Not more than 300 words)

(in English) (Not more than 200 words)

- (iv) product development and/or commercialisation plan/progress (including revenue received, capital/money raised, and renowned awards received, if any).

(in Chinese) (Not more than 200 words)

(in English) (Not more than 150 words)

- (b) *(For applicant applying for continued TSSSU-O / TSSSU+ funding)*

Please provide updates/key differences in the business compared with that of last year's application –

- (i) progress of product development, commercialisation, and/or market expansion compared with last year (including revenue received, capital/money raised, and significant awards received, if any); and

(in Chinese) (Not more than 300 words)

(in English) (Not more than 200 words)

- (ii) new proposed product(s) and/or service(s) and/or target market(s) (if any).

(in Chinese) (Not more than 300 words)

(in English) (Not more than 200 words)

(c) *(For all applicants)*

Admission into any Incubation and/or Acceleration Programme(s) (e.g. Hong Kong Science and Technology Parks Corporation, Cyberport, etc.) (if any)

Name of Incubator/ Accelerator and the Incubation/ Acceleration Programme	Period of the Incubation/Acceleration Programme (dd/mm/yyyy-dd/mm/yyyy)	Additional Grant Approved by the Incubator/ Accelerator (HK\$)
1.		
2.		
3.		
Total		

(4) Milestones within the 2024-25 Government Financial Year

Please set out the milestones of the proposed business and R&D work to be achieved from 01/04/2024 to 31/03/2025.

Period		Milestones
From (dd/mm/yyyy)	To (dd/mm/yyyy)	

(5) TSSSU Funding Being Applied for

Grand total (HK\$): **(capped at 1,500,000)**

Please provide details of the estimated expenditure items in the following tables. All fields should be completed. For the scope of funding, please refer to the guidelines and terms prescribed by the associated university.

(a) Manpower (please separate salary and MPF into two items for each employee if employer's MPF is to be included as the estimated expenditure item)

Post/Rank	No. of Staff (A)	Duration (man-months) (B)	Monthly Rate or Equivalent (HK\$) (C)	Total (HK\$) (A)x(B)x(C)	Justifications (please include the duties, academic qualification and/or relevant experience required)
1.					

Post/Rank	No. of Staff (A)	Duration (man-months) (B)	Monthly Rate or Equivalent (HK\$) (C)	Total (HK\$) (A)x(B)x(C)	Justifications <i>(please include the duties, academic qualification and/or relevant experience required)</i>
2.					
3.					
4.					
5.					
6.					
Sub-total					

(b) Equipment

Key Equipment	Quantity and Unit (e.g. set, piece, litre) (A)	Unit Cost (HK\$) (B)	Total (HK\$) (A)x(B)	Justifications
1.				
2.				
3.				
4.				
5.				
6.				
Sub-total				

(c) Other Direct Costs

Item	Quantity and Unit (e.g. month, no. of service contract, no. of campaign) (A)	Unit Cost (HK\$) (B)	Total (HK\$) (A)x(B)	Justifications
1.				
2.				
3.				
4.				
5.				
6.				
Sub-total				

SECTION C: Supporting Documents

(to be completed by the person-in-charge of the applicant company)

Please enclose the following documents as required in the previous sections -

- (1) agreement between the applicant and the collaborating organisation(s) on the sharing of the royalties or intellectual property rights or any other sorts of income to be generated from the applicant (if any);
- (2) investment agreement / undertaking issued by the private investor(s) on details of the cash contribution, bank statement(s)² showing the receipt of the private investment amount to match with TSSSU+ funding and returns to Company Registry showing the latest shareholding position of the start-up and proofs of cash injection (if any) (for TSSSU+);
- (3) CVs of all team members (a template is provided at Annex A(i));
- (4) the Certificate of Incorporation under the Companies Ordinance;
- (5) the Business Registration Certificate; and
- (6) the business proposal -
 - (a) *(for applicant applying for the first year TSSSU-O / TSSSU+ funding) the full business proposal; or*
 - (b) *(for applicant applying for the second or the third year TSSSU-O / TSSSU+ funding) supplementary information and/or documents related to information provided in Section B(3)(b), if any.*

² The bank account should be opened with a bank in Hong Kong with the name of the account holder identical to that of the name of the start-up.

SECTION D: Supplementary Information

(to be completed by the person-in-charge of the applicant company)

Any previously related project(s) undertaken by the applicant and/or its team members in the past five years and supported by the Innovation and Technology Fund (ITF), including TSSSU?

- Yes No (if no, please go to Section E direct)

If yes, please briefly describe the related project(s), provide the ITF reference number(s) and title(s), and confirm whether there involves double payment for the same part of expenditure item(s).

ITF Project title and related reference number(s)	Brief description of the project	Involves double payment for the same part of expenditure item(s) budgeted in TSSSU?
1.		<input type="checkbox"/> Yes <input type="checkbox"/> No
2.		<input type="checkbox"/> Yes <input type="checkbox"/> No
3.		<input type="checkbox"/> Yes <input type="checkbox"/> No
4.		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.		<input type="checkbox"/> Yes <input type="checkbox"/> No

SECTION E: Declaration by the Applicant

(To be completed by the person-in-charge of the applicant company)

I/We hereby declare that:

- (1) this application for TSSSU funding is submitted by *(name of the start-up)*
_____;
- (2) the private investor(s) as stated in Section A(3)(f) is/are independent third-party/parties (for TSSSU+ only); and
- (3) all factual information provided in this application as well as the accompanying information accurately reflects the status of affairs as at the date of submission. I/We shall inform and seek prior approval from the respective university immediately if there are any subsequent changes to the above information during the application stage.

Authorised Signature
with Company Chop : _____

Name of
Person-in-charge : _____

Name of Company : _____

Date (dd/mm/yy) : _____

- Chop -



SECTION F: Recommendation by the University

(To be completed by the Head of the respective university unit responsible for TSSSU)

Please tick the appropriate box to indicate whether the university recommends the start-up to be funded under TSSSU-O / TSSSU+.

I/We RECOMMEND the application from *(name of the start-up)*

_____ for a total funding of HK\$ _____ under TSSSU-O / TSSSU+. Our assessment is as follows –

Assessment Criteria	Assessment	Scores / Maximum Scores
(1)		/
(2)		/
(3)		/
(4)		/
(5)		/
Total Scores (if applicable)		/

I/We also have the following observations about the start-up -

(For TSSSU+ only) I/We also CONFIRM that the private investment(s) as stated in Section A(3)(f) is/are cash contribution(s) to the start-up and the arrangement(s) is/are not in the nature of a loan, does/do not require the start-up to pay any interest, and does/do not require the start-up to repay the principal in cash to the investor subject to any conditions.

I/We DO NOT RECOMMEND *(name of the start-up)* _____ to be funded under TSSSU-O / TSSSU+. (Note: In this case, the application form needs not to be provided to ITC.)

Signature : _____
Name : _____
Post Title : _____
Tel. No. : _____
E-mail : _____
Date (dd/mm/yy) : _____